## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ATTORNEY DOCKET NO .: SERIAL NO .: 9601-0260 INFORMATION DISCLOSURE STATEMENT Not Assigned UNDER 37 CFR §1.56, §1.97, and §1.98 APPLICANTS: EXAMINER: **PTO-1449 FORM** Not Assign Webler et al. FILING DATE: GROUP ART UNIT: SHEET 1 OF 1 December 18, 2001 Not Assigned **U.S. PATENT DOCUMENTS** †EX'R U.S. CLASS/ FILING DATE 'REF.# PATENT NUMBER DATE (MO/YR) NAME INITIAL **SUBCLASS** (If appropriate) **A1** 5,321,501 6/1994 Swanson et al. 4/1992 b 356/345 **A2** 10/1995 5,459,570 Swanson et al. 356/345 3/1993 **A3** 5.935.075 8/1999 Casscells et al. 600/474 9/1996 A4 6,111,645 8/2000 Tearney et al. 356/354 5/1998 A5 6,134,003 10/2000 Tearney et al. 356/345 2/1996 FOREIGN PATENT DOCUMENTS † EX'R TRANSLATION \*REF. # PATENT NUMBER DATE (MO/YR) COUNTRY INITIAL (YES/NO) OTHER DOCUMENTS † EX'R \*REF. # Document Description (including author, Title, Date, Pertinent Pages, Ect.) INITIAL C1 Brazinski et al., "Optical Coherence Tomography for Opitcal Biopsy" Circulation 93(6):1206-1213 (3/1996). Brazinski et al., "Assessing atherosclerotic plaque morphology: comparison of optical coherence tomography C2 and high frequency intravascular ultrasound" Heart 77:397-403 (1997). Brezinski et al., "Optical Biopsy with Optical Coherence Tomography: Feasibility for Surgical Diagnostics" **C3** Journal of Surgical Research 71:32-40 (1997). Fujimoto et al., "New Technology for High-Speed and High-Resolution Optical Coherence Tomography" C4 Annals New York Acadamy of Sciences 95-107/ Tearney et al., "Scanning single-mode fiber optic catheter-endoscope for optical coherence tomography" C<sub>5</sub> Optics Letters 21(7):543-545 (4/1996). **EXAMINER'S SIGNATURE** DATE CONSIDERED ) LEUBECKER 2/21/05 filed 10/18/01

† EXAMINER:

Initial if reference is considered, whether or not citation is in conformance with MPEP 609.

Line through citation if not in conformance and not considered.

Include copy of this form in next communication to applicant.

If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

**Application Number** 10/025,515 Filing Date December 18, 2001 First Named Inventor William E. Webler, et al. **Art Unit** 3726 Examiner Name Unassigned ACS-60271 (2168P) **Attorney Docket Number** 

Complete if Known

			U.	S. PAT	ENT DOCUM	ENTS			
Examiner Initials	Cite No.1	Document Number  Number Kind Code (// known)	Publication MM-DD-Y		Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
7		US- 5,872,879	02/16/1999		Mark A. Hamm				
7	<del>                                     </del>		07/17/2001			Mark A. Hamm			
		US-							
		US-		•			1		
		US-	†		†·· ··· · · · · · · · · · · · · · · · ·			·	
		US-	† · · · · · · · ·		İ	<del></del>		) <u>^</u>	
		US-	1				1	SCA.	
		US-					.//	11/1/2	
		US-				75	J. *6	W/ ( )	
		US-	1		<del>                                     </del>		1/1/0/-	2000	
		US-		•	<del>                                     </del>		1 40	SY CONT	
		US-	1		t		<del>                                     </del>	ENTER	
		US-	† · · · · · · · · · · · · · · · · · · ·				1	SY CENTER M3700	
		US-					1		
		US-					†	· · · · · · · · · · · · · · · · · · ·	
		US-	<b>†</b>			·-	<del> </del>		
		US- ·					<u> </u>		
		us.	1	*		-	†		
		us.	<del>† </del>		1		<del>                                     </del>	· <del></del>	
		ŪS-	<del>                                     </del>				1		
			FOR	FIGN	PATENT DOC	UMENTS			
<del></del>		Foreign Patent C						Pages, Columns, Lines,	Т
Examiner Initiats'	Cite No. <sup>1</sup>	Office <sup>3</sup> Number <sup>4</sup>	Kind <sup>6</sup> (if known)	Kind <sup>6</sup> M		Name of Patentee or Applicant of Cited Docum		Where Relevant Passages or Relevant Figures Appear	T <sup>8</sup>
									<u> </u>
									1
									↓
									<u> </u>
									_
						l			
						<u> </u>			<u> </u>
Exami Signal		Ster	BRUKA	ens.		Date Considered	2/2	1/05	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.